

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Robert A. Henderson et al.
Filed : October 29, 2001
For : COMPOSITIONS AND METHODS FOR THE THERAPY AND
DIAGNOSIS OF LUNG CANCER

Docket No. : 210121.478C18

Date : October 29, 2001

Box Patent Application
Commissioner for Patents
Washington, D.C. 20231

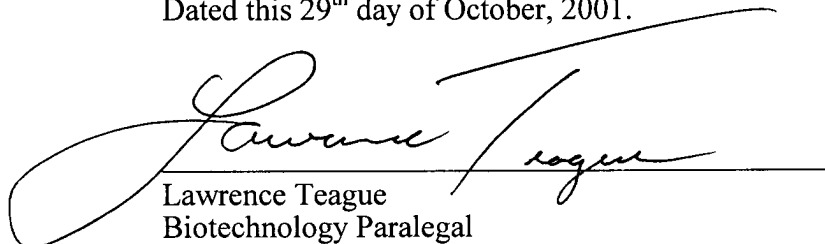
DECLARATION

Sir:

I, Lawrence Teague, in accordance with 37 C.F.R. § 1.821(f) do hereby declare that, to the best of my knowledge, the content of the paper entitled "Sequence Listing" and the computer readable copy contained within the floppy disk are the same.

I declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Dated this 29th day of October, 2001.


Lawrence Teague
Biotechnology Paralegal

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1001754-106904

ASSIGNMENT OF UTILITY PATENT APPLICATION

WHEREAS, we,

| Name | Post Office Address | |
|---------------------|---|---|
| Robert A. Henderson | 8904 92 nd Street Southwest, Edmonds, Washington 98026 | ; |
| Tongtong Wang | 8049 Northeast 28 th Street, Medina, Washington 98039 | ; |
| Yoshihiro Watanabe | 2266 78 th Avenue Southeast, Mercer Island, Washington 98040 | ; |
| Jeffrey C. Johnson | 25828 15 th Place South, Des Moines, Washington 98198 | ; |
| Marc W. Retter | 33402 Northeast 43 rd Place, Carnation, Washington 98014 | ; |
| Margarita Durham | 3444 36 th Avenue West, Seattle, Washington 98199 | ; |
| Darrick Carter | 321 Summit Avenue East, Seattle, Washington 98102 | ; |
| Gary R. Fanger | 15906 – 29 th Drive Southeast, Mill Creek, Washington 98012 | ; |
| Thomas S. Vedvick | 124 South 300 th Place, Federal Way, Washington 98003 | ; |
| Chaitanya S. Bangur | 2102 North 105 th Street, Apt. J101, Seattle, Washington 98133 | ; |
| Andria McNabb | 3602 Northeast 11 th Court, Renton, Washington 98056 | , |

(hereinafter referred to as ASSIGNORS), having post office addresses as listed above next to our names, are the joint inventors of an invention entitled

**COMPOSITIONS AND METHODS FOR THE
THERAPY AND DIAGNOSIS OF LUNG CANCER**

which is described and claimed in the specification and claims of continuation-in-part (C-I-P) Patent Application No. 10/017,754, as filed in the United States Patent and Trademark Office on October 29, 2001 ("this application") for which a patent is sought. This application derives benefit from the following application(s):

| U.S. Parent Application or PCT Parent Number | Parent Filing Date (MM/DD/YYYY) | Parent Patent Number | Attorney Docket Number |
|---|------------------------------------|-------------------------|---------------------------|
| 09/902,941 | 07/10/2001 | | 210121.478C17 |
| 09/849,626 | 05/03/2001 | | 210121.478C16 |
| 09/736,457 | 12/13/2000 | | 210121.478C15 |

| U.S. Parent Application or PCT Parent Number | Parent Filing Date (MM/DD/YYYY) | Parent Patent Number | Attorney Docket Number |
|---|------------------------------------|-------------------------|---------------------------|
| 09/702,705 | 10/30/2000 | | 210121.478C14 |
| 09/677,419 | 10/06/2000 | | 210121.478C13 |
| 09/671,325 | 09/26/2000 | | 210121.478C12 |
| 09/658,824 | 09/08/2000 | | 210121.478C11 |
| 09/651,563 | 08/29/2000 | | 210121.478C10 |
| 09/614,124 | 07/11/2000 | | 210121.478C9 |
| 09/589,184 | 06/05/2000 | | 210121.478C8 |
| 09/560,406 | 04/27/2000 | | 210121.478C7 |
| 09/546,259 | 04/10/2000 | | 210121.478C6 |
| 09/533,077 | 03/22/2000 | | 210121.478C5 |
| 09/519,642 | 03/06/2000 | | 210121.478C4 |
| 09/476,300 | 12/30/1999 | | 210121.478C3 |
| 09/466,867 | 12/17/1999 | | 210121.478C2 |
| 09/419,356 | 10/15/1999 | | 210121.478C1 |
| 09/346,492 | 06/30/1999 | | 210121.478 |

WHEREAS, Corixa Corporation (hereinafter referred to as ASSIGNEE), a corporation of the State of Delaware having a place of business at 1124 Columbia Street, Suite 200, Seattle, Washington 98104, is desirous of acquiring the entire right, title and interest in and to said invention, this application, and in and to any Letters Patent that may be granted therefor in the United States and in any and all foreign countries;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign and transfer unto said ASSIGNEE, the entire right, title and interest in and to said invention, this application and any and all Letters Patent which may be granted therefor in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues and continuations thereof, including the right to file foreign applications directly in the name of

ASSIGNEE and to claim priority rights deriving from this application and said parent application(s) to which said foreign applications are entitled by virtue of international convention, treaty or otherwise; said invention, this application and all Letters Patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNORS had this assignment, transfer and sale not been made.

ASSIGNORS hereby authorize and request the Director of the Patent and Trademark Office to issue said United States Patent to ASSIGNEE, of the entire right, title and interest in and to the same, for its sole use and benefit, and for the use and behalf of its legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by us had this assignment and sale not been made.

ASSIGNORS agree to execute all instruments and documents required for the making and prosecution of applications for United States and foreign Patent on said invention, for litigation regarding said Patent, or for the purpose of protecting title to said invention or Patent therefor.

Date 2-19-02 Signature of Robert A. Henderson [Signature]
State of WASHINGTON)
County of KING) ss.

I certify that I know or have satisfactory evidence that Robert A. Henderson is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated February 19, 2002
Signature of Notary Public [Signature]
Printed Name DEIRDRE I. BRYAN
My appointment expires 9/1/02

Date 2/11/02 Signature of Tongtong Wang [Signature]
State of WASHINGTON)
County of KING) ss.

I certify that I know or have satisfactory evidence that Tongtong Wang is the person who appeared before me, and said person acknowledged that she signed this instrument and acknowledged it to be her free and voluntary act for the uses and purposes mentioned in the instrument.

Dated February 11, 2002
Signature of Notary Public [Signature]
Printed Name DEIRDRE I. BRYAN
My appointment expires 9/1/02

Date 2/15/02 Signature of Yoshihiro Watanabe [Signature]
State of WASHINGTON)
County of KING) ss.

I certify that I know or have satisfactory evidence that Yoshihiro Watanabe is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated February 15, 2002
Signature of Notary Public [Signature]
Printed Name DEIRDRE I. BRYAN
My appointment expires 9/1/02

Date 2/12/02 Signature of Jeffrey C. Johnson
State of WASHINGTON)
County of KING) ss.

I certify that I know or have satisfactory evidence that Jeffrey C. Johnson is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated February 12, 2002
Signature of Notary Public Deirdre I. Bryan
Printed Name DEIRDRE I. BRYAN
My appointment expires 9/1/02

Date 2/12/02 Signature of Marc W. Retter
State of WASHINGTON)
County of KING) ss.

I certify that I know or have satisfactory evidence that Marc W. Retter is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated February 12, 2002
Signature of Notary Public Deirdre I. Bryan
Printed Name DEIRDRE I. BRYAN
My appointment expires 9/1/02

2/13/02
Date
Signature of Margarita Durham
State of WASHINGTON)
County of KING) ss.

I certify that I know or have satisfactory evidence that Margarita Durham is the person who appeared before me, and said person acknowledged that she signed this instrument and acknowledged it to be her free and voluntary act for the uses and purposes mentioned in the instrument.

Dated February 13, 2002
Signature of Notary Public Deirdre I. Bryan
Printed Name DEIRDRE I. BRYAN
My appointment expires 9/1/02

2/12/2002
Date
Signature of Darrick Carter
State of WASHINGTON)
County of KING) ss.

I certify that I know or have satisfactory evidence that Darrick Carter is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated February 12, 2002
Signature of Notary Public Deirdre I. Bryan
Printed Name DEIRDRE I. BRYAN
My appointment expires 9/1/02

Date 2/14/02 Signature of Gary R. Fanger _____
State of WASHINGTON)
County of KING) ss.

I certify that I know or have satisfactory evidence that Gary R. Fanger is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated February 14, 2002
Signature of Notary Public Deirdre I. Bryan
Printed Name DEIRDRE I. BRYAN
My appointment expires 9/1/02

Feb. 11, 2002 _____
Date Signature of Thomas S. Vedvick
State of WASHINGTON)
County of KING) ss.

I certify that I know or have satisfactory evidence that Thomas S. Vedvick is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated February 11, 2002
Signature of Notary Public Deirdre I. Bryan
Printed Name DEIRDRE I. BRYAN
My appointment expires 9/1/02

Date 2/11/2002

Chaitanya S. Bangur
Signature of Chaitanya S. Bangur

State of WASHINGTON)
County of KING) ss.

I certify that I know or have satisfactory evidence that Chaitanya S. Bangur is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated February 11, 2002
Signature of Notary Public Deirdre I. Bryan
Printed Name DEIRDRE I. BRYAN
My appointment expires 9/1/02

Date 2/13/02 Andria McNabb
Signature of Andria McNabb
State of WASHINGTON)
County of KING) ss.

I certify that I know or have satisfactory evidence that Andria McNabb is the person who appeared before me, and said person acknowledged that she signed this instrument and acknowledged it to be her free and voluntary act for the uses and purposes mentioned in the instrument.

Dated February 13, 2002
Signature of Notary Public Deirdre I. Bryan
Printed Name DEIRDRE I. BRYAN
My appointment expires 9/1/02